

Please type a plus sign (+) inside this box → +

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No.

R0087D-DIV

Inventor(s)

Robert J. Weikert, et al.

Title

BENZOCYCLOALKYLENYLAMINE DERIVATIVES  
AS MUSCARINIC RECEPTOR ANTAGONISTS

Express Mail Label No.

EH 814 667 839 US

15424 U.S. PTO  
10/632734  
06/01/03

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 68]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the invention
  - Brief Summary of the invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☐ Drawing(s) (35 U.S.C. 113) [Total Sheets   ]
5. Oath or Declaration [Total Pages 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
6. ☐ Application Data Sheet. See 37 CFR 1.76

### ADDRESS TO:

Commissioner for Patents  
M/S Patent Application  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

### ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)

of prior application No: 10/289,055, filed

11/06/2002, which is a divisional of 09/862,522, filed 5/22/2001

Prior application information:

Examiner **Bruck Kifle**

Group / Art Unit: **1624**

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

24372

or ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name

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Palo Alto

State

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Zip Code

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Agent)

**BRIAN BUCKWALTER**

Registration No. (Attorney/Agent)

46,585

Signature

*Brian Buckwalter*

Date

August 1, 2003

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<h2 style="margin: 0;">FEE TRANSMITTAL</h2> <h3 style="margin: 0;">for FY 2003</h3> <p style="font-size: small; margin-top: 10px;">Patent fees are subject to annual revision.</p>		<p><i>Complete if Known</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Application Number</td> <td style="width: 50%;">new divisional patent application</td> </tr> <tr> <td>Filing Date</td> <td>within</td> </tr> <tr> <td>First Named Inventor</td> <td>Robert James Weikert</td> </tr> <tr> <td>Examiner Name</td> <td>to be assigned</td> </tr> <tr> <td>Group / Art Unit</td> <td>1624</td> </tr> <tr> <td>Attorney Docket No.</td> <td>R0087D-DIV</td> </tr> </table>		Application Number	new divisional patent application	Filing Date	within	First Named Inventor	Robert James Weikert	Examiner Name	to be assigned	Group / Art Unit	1624	Attorney Docket No.	R0087D-DIV
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TOTAL AMOUNT OF PAYMENT		(\$ ) 750.00													

<p><b>METHOD OF PAYMENT (check one)</b></p> <p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:</p> <div style="border: 1px solid black; padding: 5px; margin-top: 5px;">             Deposit Account Number: <b>18-1700</b>               Deposit Account Name: <b>Roche Palo Alto LLC 3431 Hillview Avenue Palo Alto, CA 94304</b> </div> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p> <p>2. <input type="checkbox"/> Payment Enclosed:</p> <p style="text-align: center;"> <input type="checkbox"/> Check    <input type="checkbox"/> Credit card    <input type="checkbox"/> Money Order    <input type="checkbox"/> Other         </p>				<p><b>FEE CALCULATION (continued)</b></p> <p><b>3. ADDITIONAL FEES</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Fee Code</th> <th style="text-align: left;">Large Entity Fee (\$)</th> <th style="text-align: left;">Small Entity Fee (\$)</th> <th style="text-align: left;">Fee Description</th> <th style="text-align: left;">Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td></td><td>Petition to revive - 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<p><b>SUBMITTED BY</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%;">Name (Print/Type)</td> <td style="width: 30%;">Brian Buckwalter</td> <td style="width: 30%;">Registration No. Attorney/Agent</td> <td style="width: 10%;">46,585</td> </tr> <tr> <td>Signature</td> <td colspan="3"></td> </tr> </table>		Name (Print/Type)	Brian Buckwalter	Registration No. Attorney/Agent	46,585	Signature				<p><i>Complete (if applicable)</i></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 50%;">Telephone</td> <td style="width: 50%;">(650) 855-6995</td> </tr> <tr> <td>Date</td> <td>August 1, 2003</td> </tr> </table>		Telephone	(650) 855-6995	Date	August 1, 2003
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